



Introduction and welcome



Objectives for today



- 1. Overview of ongoing work across the Better Care network and key partner initiatives
- 2. Update on HDR UK QQR process
- 3. Start to discuss how the Better Care Community will evolve as we move forwards



Agenda:

Time	Session	Who	Aims
09.30	Introduction and welcome	Simon Ball, Executive Medical Director, University Hospitals Birmingham NHS Foundation Trust	Introduction, welcome and overview of the day
09:40 (20 min)	QQR Update	Rhos Walker, Chief Science Strategy Office, HDR UK	 Update on the QQR process and key next steps
10:00 (60 min)	Early Career Researcher Showcase	 Chair: Kevin Dunn, Adi Kale, Clinical Research Fellow, Al and Digital Healthcare Research Team, Birmingham: Clinical Al Safety: Developing our understanding of algorithmic errors and patient harms Aseel Abuzour & Bethan Copsey, Senior Research Fellows, University of Leeds: Development of Anticholinergic Medication Index in Bradford Alex Garner, Senior Research Associate, University of Lancaster: Analysing care sequences of care home residents during the pandemic James Schmidt, Biostatistical PhD Researcher, University of Leicester: Patterns of rates of mortality in the Clinical Practice Research Datalink 	 Presentations from our Early Career Researchers across the HDR UK Better Care Community Project overview, progress and opportunities for collaboration

11:00 (15 min)

BREAK



Agenda:

Time	Session	Who	Aims
11:15 (60 mins)	The Networked Data Lab	Fiona Grimm and Sebastien Peytrignet, The Health Foundation Jessica Butler, University of Aberdeen	 Overview of the Networked Data Lab Recent output, progress to date and lessons learned
12:15 (25 mins)	Using regional networks to support the adoption and spread of data science innovations	Andy Clegg, Professor of Geriatric Medicine, University of Leeds and Honorary Consultant Geriatrician, Bradford Royal Infirmary	 Consider, how can we maximise the impact of regional and national networks to support the adoption and spread of data science innovations? Discuss, how the Better Care community interfaces with these networks
12:40 (20 min)	Better Care within the HDR UK future strategy	Chair: Alastair Denniston, Director of INSIGHT - the Health Data Research Hub for Eye Health, University of Birmingham, UK	 Explore, what do members of the Better Care Community value from being part of a national network Discuss, what role can the Better Care community plan to enable the HDR UK future strategy Consider additional opportunities for funding to embed the Better Care approach in the future and support research which enables implementation of research into practice

13:00 MEETING CLOSE

Better Care Vision



By 2030, patients will benefit from healthcare decisions informed by large scale data and advanced analytics to identify what will work best for them

Better Care Aim:



Improve people's lives by equipping clinicians and patients in the UK with the best possible data-based information to make decisions about their care

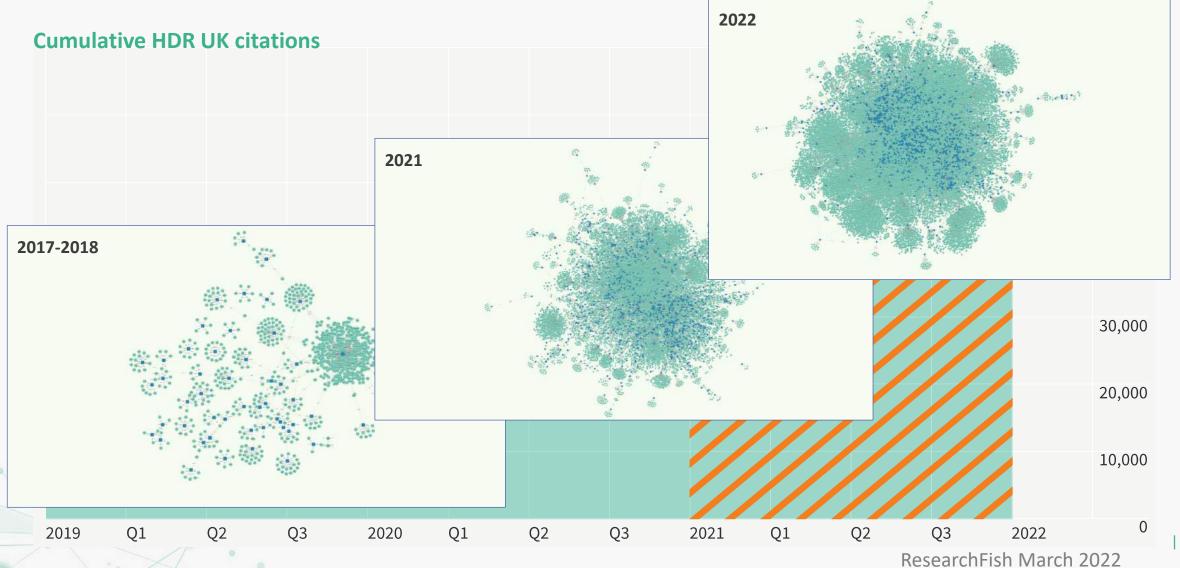
We deliver through*:

- 1. Sharing and learning: Sharing across a connected national network to transfer best practice and provide insights from experience and learning
- 2. **Embedding into clinical practice:** Engaging with practitioners and policy makers to address the barriers to real-world implementation into routine health and care
- 3. Scaling: Supporting the scaling and sustaining of outputs and impacts across geographies, specialties and time

*Ref: First Better Care Insight Day, July 2020

We have built a community to build on in the future







QQR Update



Our refreshed strategy focusing on three integrated areas of activity

- Research Data Infrastructure and Services
- 2. Research Driver Programmes
- 3. One Institute Partnerships



We have made lots of progress in the last 3 months...

04 Feb: HDR UK Submit QQR Report 16 Mar: HDR UK QQR Board 1st Meeting

- Committee briefing attended by Institute Director and limited observers
- 10 min presentation to set out HDR UK unique model and vision

4-5 May: QQR Retreat, Bristol



19 Aug: Directors
Response to
Subcommittee
Recommendations

27 Oct: Directors
Response to Review
Board



Mar-Apr: External Inputs and Peer review

Institute response to reviewer feedback

assessment (PPIE, comms, KTE, training)

Peer review, core funder office













18-20 May: Infrastructure Subcommittee Site Visit

In person –London

24-26 May: Driver Programme Subcommittee Site Visit

- In person –
 Edinburgh
- Set-out the vision and details of each programme
- Respond to Subcommittee questions
- Programme leadership attend



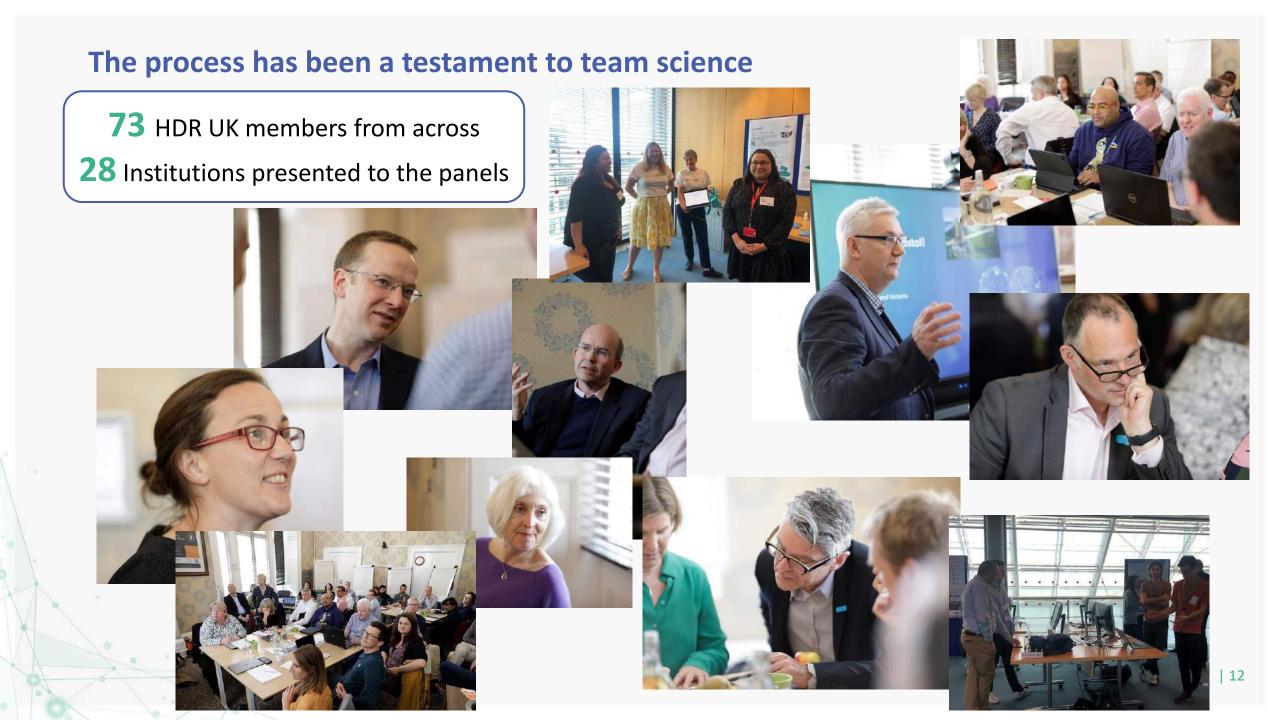


15-16 Sep: HDR UK QQR Board 2nd Meeting

- Final recommendations in light of Director's response
- Attended by Institute Director and limited observers
- HDR UK to make changes to the Institute Overview (if required)

Dec: Core Funders'
Assessments and
Decisions

Jan 2023: Core Funders' Committee Ratification



Feedback has been very positive and HDR UK's unique contribution has been recognised*



Infrastructure and Services



(This is an) incredible achievement

HDR UK is providing a unique and critical contribution to the UK research ecosystem

HDR UK serves as a strong platform and example for other data initiatives around the world

Research



HDR UK is a world leader in this space

HDR UK, will offer substantial benefits over a piecemeal approach to ...individual studies

One Institute



Congratulations for setting up something unique

We are unhesitating in our support for what you do and the need of the country for HDR UK as the national Institute for health data research

		Score
Infrastructure and	Past	9/10
Services	Future 9/10	9/10
Research Driver	Past	9/10
Programmes	Future	9/10

9/10 = "Excellent - Internationally competitive and leading edge in most areas."



And accelerated key opportunities...

NHS alignment and closer integration with the NHS Data for R&D investment

- Ongoing discussions with NHS TD (led by David Seymour) to map out opportunities for collaboration
- Response to be provided to Review Board in September



Policy paper

Data saves lives: reshaping health and social care with data

Updated 15 June 2022

2. International Strategy

- Integration of our work through HDR Global in the Global South and international collaborations across "Infrastructure and Services" and "Driver Programmes"
- To be discussed with HDR UK International Advisory Board (25 July) and
 at September Board meeting





What happens next?

July Formal feedback from review sub-committees confirming key strategic priorities to

address

19 Aug: HDR UK Response to sub-committee feedback

15-16 Sept: Review Board to discuss final recommendations and outcomes of review process

Dec-Jan: Core Funder decisions



Early Career Researcher Showcase



Clinical Al Safety (for all): Developing our understanding of algorithmic errors and patient harms

Dr Aditya Kale

Clinical Research Fellow

University Hospitals Birmingham & University of Birmingham









Overview



Safety of Clinical Artificial Intelligence



Data curation and clinical evaluation



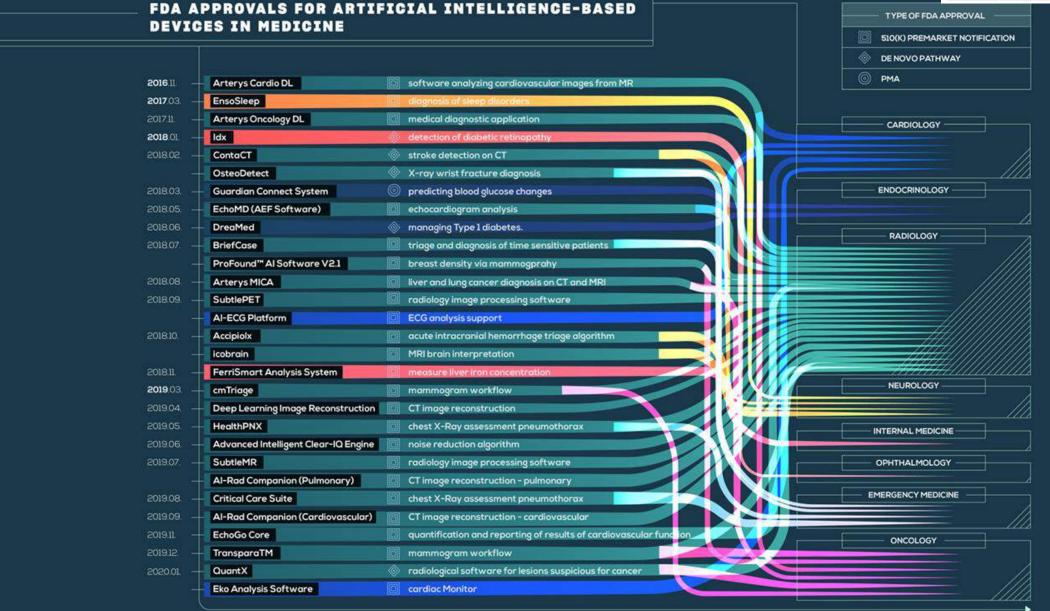
The Medical Algorithmic Audit



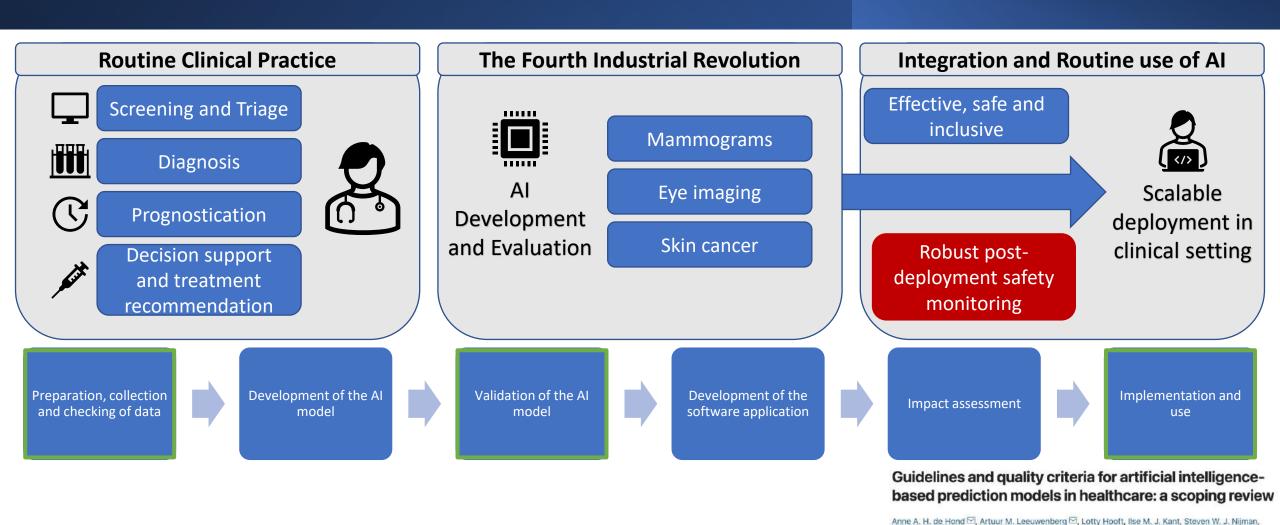
Monitoring the safety of deployed AI health technologies (Current focus of my research)

The state of artificial intelligence-based FDA-approved medical devices and algorithms: an online database

Stan Benjamens, Pranavsingh Dhunnoo & Bertalan Meskó



The urgent need for AI safety frameworks



npj Digital Medicine 5, Article number: 2 (2022) | Cite this article

Johannes B. Reitsma, Ewout W. Steyerberg, Niels H. Chavannes & Karel G. M. Moons

Overview



Safety of Clinical Artificial Intelligence



Data curation and clinical evaluation



The Medical Algorithmic Audit



Monitoring the safety of deployed AI health technologies (Current focus of my research)

Safety of Clinical Artificial Intelligence

Preparation, collection and checking of data



Development of the AI model



Validation of the AI model



Development of the software application



Impact assessment



Implementation and use

Building safe artificial intelligence: specification, robustness, and assurance

By Pedro A. Ortega, Vishal Maini, and the DeepMind safety team

Specification

(Define purpose of the system)

Robustness

(Design system to withstand perturbations)

Assurance

(Monitor and control system activity)

Design

Bugs & inconsistencies
Ambiguities
Side-effects
High-level specification languages
Preference learning

Design protocols

Prevention and Ris

Risk sensitivity Uncertainty estimates Safety margins Safe exploration

Cautious generalisation Verification

Adversaries

Monitorin

Interpretability
Behavioural screening
Activity traces
Estimates of causal influence
Machine theory of mind
Tripwires & honeypots

Emergent

Wireheading
Delusions
Metalearning and sub-agents
Detecting emergent behaviour

Recovery and Stability

Instability Error-correction Failsafe mechanisms Distributional shift Graceful degradation

Enforcement

Interruptibility Boxing Authorisation system Encryption Human override

Theory

(Modelling and understanding Al systems)

Overview



Safety of Clinical Artificial Intelligence



Data curation and clinical evaluation



The Medical Algorithmic Audit



Monitoring the safety of deployed AI health technologies (Current focus of my research)

Standards for Datasets

THE LANCE

THE LANCET

A global review of publicly available datasets for ophthalmological imaging: barriers to access, usability, and generalisability

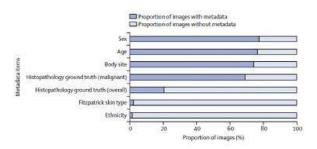
Saud M Khan", Xiaoavon Liu", Siddharth Noth, Edward Korot, Livia Foes, Siegfried K Wagner, Pearse A Keane, Neil J Sebire, Matthew J Burton, Alastair K Dennistan



Characteristics of publicly available skin cancer image datasets: a systematic review

David Wen, Saad M Khar, Antonio Ji Xu, Hossein Ibrahim, Luke Smith, Jose Caballero, Luis Zepeda, Carlos de Blas Perez, Alastoir K Denniston, Kiaasuan Liuⁿ, Rubeta N Matin *

Publicly available skin image datasets are increasingly used to develop machine learning algorithms for skin cancer diagnosis. However, the total number of datasets and their respective content is currently unclear. This systematic review aimed to identify and evaluate all publicly available skin image datasets used for skin cancer diagnosis by exploring their characteristics, data access requirements, and associated image metadata. A combined MEDLINE.



THE LANCET Digital Health

Health data poverty: an assailable barrier to equitable digital health care

Hussein Ibrahim, Xiaoxuan Liu, Nevine Zariffa, Andrew D Morris*, Alastair K Denniston*

The inability for individuals, groups, or populations to benefit from a discovery or innovation due to insufficient data that are representative of them

STANDING Together









www.datadiversity.org

To ensure AI healthcare technologies are supported by adequately representative data, we are developing standards on how AI datasets should be composed ('who' is represented in the data) and transparency around the data composition ('how' they are represented).

Clinical Evaluation- Reporting of studies

A comparison of deep learning performance against health-care professionals in detecting diseases from medical imaging: a systematic review and meta-analysis

Xiaoxuan Liu*, Livia Faes*, Aditya U Kale, Sieafried K Wagner, Dun Jack Fu, Alice Bruynseels, Thushika Mahendiran, Gabriella Moraes, Mohith Shamdas, Christoph Kern, Joseph R Ledsam, Martin K Schmid, Konstantinos Balaskas, Eric J Topol, Lucas M Bachmann, Pearse A Keane, Alastair K Denniston

Summary

Background Deep learning offers considerable promise for medical diagnostics. We aimed to evaluate the diagnostic accuracy of deep learning algorithms versus health-care professionals in classifying diseases using medical imaging.

Methods In this systematic review and meta-analysis, we searched Ovid-MEDLINE, Embase, Science Citation Index, and Conference Proceedings Citation Index for studies published from Jan 1, 2012, to June 6, 2019. Studies comparing the diagnostic performance of deep learning models and health-care professionals based on medical imaging, for any disease, were included. We excluded studies that used medical waveform data graphics material or investigated the accuracy of image segmentation rather than disease classification. We extracted binary diagnostic accuracy data and constructed contingency tables to derive the outcomes of interest: sensitivity and specificity. Studies undertaking an out-of-sample external validation were included in a meta-analysis, using a unified hierarchical model. This study is registered with PROSPERO, CRD42018091176.





Lancet Digital Health 2019; 1: e271-97

Published Online September 24, 2019 https://doi.org/10.1016/ 52589-7500(19)30123-2

This online publication has been corrected. The corrected version first appeared at thelancet.com/digital-health on October 9, 2019

See Comment page e246

*Joint first authors

Department of

Inadequate Reporting

- **Population characteristics for datasets**
- Inclusion/exclusion criteria of participants
- Inclusion/exclusion criteria of images
- Methods for splitting the datasets
- Image preparation and pre-processing
- Procedures for poor quality images
- Provision of the full algorithm
- Instructions on how to use the algorithm
- **Expertise of the human comparator**

Guidelines for clinical trial protocols for interventions involving artificial intelligence: the SPIRIT-AI extension

The SPIRIT 2013 statement aims to improve the completeness of clinical trial tentocol reporting by providing in promoting transparent evaluation of new interventions. More recently, there has been a growing recognition that interventions involving artificial intelligence (AI) need to undergo rigorous, prospertive evaluation to demonstrate their impact on health outcomes. The SPIREF-AI (Standard Protocol Items: Recommendations for Interventional Trials-Artificial intelligence) extension is a new reporting guideline for clinical trial protocole evaluating interventions with an AI component. It was developed in parallel with its companion statement for trial reports: CONSORT-M (Consolidated Standards of Reporting Trols-Artificial Intelligence). Both guidelines were developed through a staged consensus process involving literature review and expert consultation to generate 26 candidate items, which were consulted upon by an international multi-stakeholder group in a two-stage Delphi survey (103 stakeholders), agreed upon in a consensus meeting (31 stakeholders) and refined through a checklist pilot (34 participants). The SPIRIT-AI extension includes 15 new items that were considered sufficiently important for clinical trial protocols of Al sterventions. These new items should be soutinely reported in addition to the core SPIRIT 2013 items. SPIRIT-AI recommends that investigators provide clear descriptions of the AI intervention, including instructions and skills required for use, the setting in which the AI intervention will be integrated, considerations for the handling of input and output data, the human-AI interaction and analysis of error cases. SPIREFAI will help promote transparency and the general readership, to understand, interpret, and critically appraise the design and risk of bias for a planned

A clinical trial protocol is an essential document produced—cases, the majority of published evidence has consisted of A clinical trial protocol is an execution posture produced by study investigation for the part of the related his most recent Al studies are inadequately reported and plans for how a clinical trial will most recent Al studies are inadequately reported and stud the conducted." This key document is used by external existing reporting guidelines do not halfy cover potential reviewers funding agencies, regulatory bedden, research sources of bias specific to AI systems." The welcome

Reporting guidelines for clinical trial reports for interventions (**) involving artificial intelligence: the CONSORT-AI extension



has been instrumental in ensuring transparency in the evaluation of new interventions. More recently, there has been a growing recognition that interventions involving artificial intelligence (Al) need to undergo rigorous, prospective evaluation to demonstrate impact on fiealth outcomes. The CONSORT-AI (Consolidated Standards of Reporting Trials-Artificial Intelligence) extension is a new reporting guideline for clinical trials evaluating interventions with an AI component, It was developed in parallel with its companion statement for clinical trial protocols: SPIRIT-AI (Standard Protocol Items: Recommendations for Interventional Trials-Artificial Intelligence). Both guidelines were developed through a staged consensus process involving literature review and esperi consultation to generate 29 candidate items, which were assessed by an international multi-stakeholder group in a two-stage Delphi survey (10). stakeholders), agreed upon in a two-day consensus meeting (31 stakeholders), and refined through a checklist pilot & 4 participants). The CONSORT-AI extension includes 14 new items that were considered sufficiently important for the considered sufficient for the c Al intercentions that they should be routinely reported in addition to the core CONSORT 2010 items. CONSORT-Al recommends that investigators provide clear descriptions of the Al intervention, including instructions and skills required for use, the setting in which the AI intervention is integrated, the handling of inputs and outputs of the AI intervention, the human-AI interaction and provision of an analysis of error cases. CONSORT-AI will help promote ncy and completeness in reporting clinical trials for AI interventions. It will assist editors and peer r as well as the general readership, to understand, interpret, and critically appraise the quality of clinical trial design

Randontised controlled trials (RCTs) are considered the of in-silico, early phase validation. It has been recognised gold-standard experimental design for providing evidence of the safety and efficiency of the safety and efficiency of an intervention. Tetal and existing reporting guidelities do not fully cover nesults, if adequately reported, how the potential to posmital sources of bias specific to Al systems. The first beautiful properties decisions, clinical guideliner, and welcome renegrove of RCBs seeking to evaluate owner. health policy. It is therefore crucial that RCTs are reported interventions based on, or including, an Al-component

THE LANCET Digital Health



nature medicine



Reporting of adverse events/Al errors/ patient harms

Overview



Safety of Clinical Artificial Intelligence



Data curation and clinical evaluation

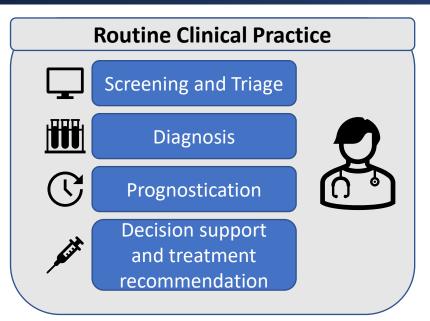


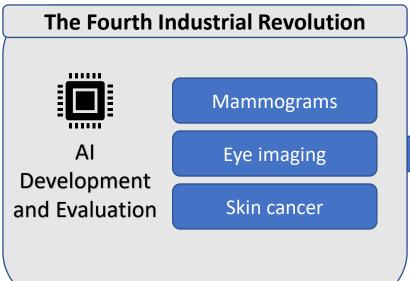
The Medical Algorithmic Audit

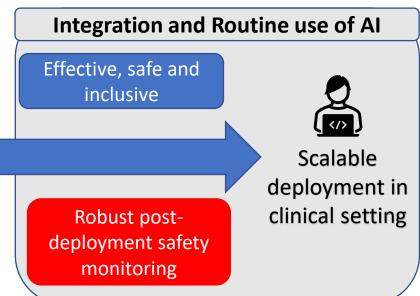


Monitoring the safety of deployed AI health technologies (Current focus of my research)

The urgent need for AI safety frameworks







Problem:

- Urgent need to establish methods for error detection, analysis and reporting (Safety Monitoring)
- Lack of understanding around what constitutes AI errors and patient harms



Cumberlege
First Do No Harm
Report published

The report of the Independent Medicines and Medical Devices Safety Review

Regulatory Horizons Council
Report on Medical Devices
19 August 2021



Performance and safety monitoring of clinical Al

THE LANCET Digital Health

The medical algorithmic audit

Xiaoxuan Liu, PhD • Ben Glocker, PhD • Melissa M McCradden, PhD • Marzyeh Ghassemi, PhD

Prof Alastair K Denniston, PhD † • Lauren Oakden-Rayner, MBBS 😕 † 🖂 • Show footnotes

intellio	artificial igence system health-care task	Audit checklist Intended use statement Intended impact statement FMEA clinical pathway mapping FMEA clinical task risk analysis	Exploratory error analysis Subgroup testing	Risk mitigation measures Developer actions	Algorithmic audit summary report
	health-care task	FMEA clinical task risk	Subgroup testing	Developer actions	Plan re-audit
		FMEA risk priority number document			
Define intended impact Identification	ify personnel and orces	Datasets Data description Data, including explainability artifacts Data flow diagram The artificial intelligence	Adversarial testing	Clinical actions	
Identit	ify and prioritise	model itself, if available • Model summary • Previous evaluation materials			

Overview



Safety of Clinical Artificial Intelligence



Data curation and clinical evaluation



The Medical Algorithmic Audit



Monitoring the safety of deployed AI health technologies (Current focus of my research)

Evaluating safety for Artificial Intelligence (AI) health technologies: improving the detection, analysis and reporting of AI errors and patient harms

Aim:

To improve the detection, analysis and reporting of errors and harms in the context of AI health technologies

Work Package Objectives

WP1: Identify and characterise AI errors and patient harms

WP2: Evaluate how errors and harms could be detected and reported in practice

WP3: Developing recommendations for a best practice safety monitoring framework to support safe AI deployment

Understanding how to detect, report and prevent errors

Adverse event reporting

MEDSAFE HPFB TGA FDA MHRA (Australia) (US) (UK) (Canada) (NZ) Adverse event databases FSNs and **CVAROD MAUDE SMARS DAEN FCAs** Medical devices included

Errors and failure modes

Insulin dose optimization using an automated artificial intelligence-based decision support system in youths with type 1 diabetes

Primary outcome: Time spent within target glucose range Secondary outcome: Adverse events

6 month single blind, parallel RCT (non-inferiority).

Dose adjustments at 3-week intervals using AI DSS vs Physicians

	AI-DSS arm $(N=60)$	Physician arm (N = 62)
No. of severe hypoglycemic events	0	2
No, of severe hyperglycemic event (diabetic ketoacidosis)	0	1
No. of severe AEs unrelated to diabetes ^b	2	1
Significant hyperglycemia ^c (due to pump malfunction)	2 (1)	8 (4)
Ketonuria	0	2
Significant hypoglycemia ^d	3	2
No. of device-related AEs		
Sensor-related contact allergic	1	0
Insulin pump site infection	0	4
No. of AEs not related to study interventions (sum)	44	55
Ear, throat and respiratory infections	21	28
Gastrointestinal infections and inflammatory conditions ^t	8	8
Bone and muscle and joint injury or pain	3	7
Allergic conditions	3	0
Urinary infections	2	0
Conjunctivitis	0	2
Skin and subcutaneous tissue disorders	2	4
Neurologic (syncope/headache)	2	0
Other ^g	3	6

A total of 20 diabetes-related study adverse events (AEs) (AI-DSS arm, n=8; physician arm, n=12) were reported. Among these, there were three severe AEs (two severe hypoglycemia, one diabetic ketoacidosis), which were reported in the physician arm. All AEs are presented in Table 2.

Real-time automatic detection system increases colonoscopic polyp and adenoma detection rates: a prospective randomised controlled study

Pu Wang, ¹ Tyler M Berzin, ² Jeremy Romek Glissen Brown, ² Shishira Bharadwaj, ² Aymeric Becq, ² Xun Xiao, ¹ Peixi Liu, ¹ Liangping Li, ¹ Yan Song, ¹ Di Zhang, ¹ Yi Li, ¹ Guangre Xu, ¹ Mengtian Tu, ¹ Xiaogang Liu ¹

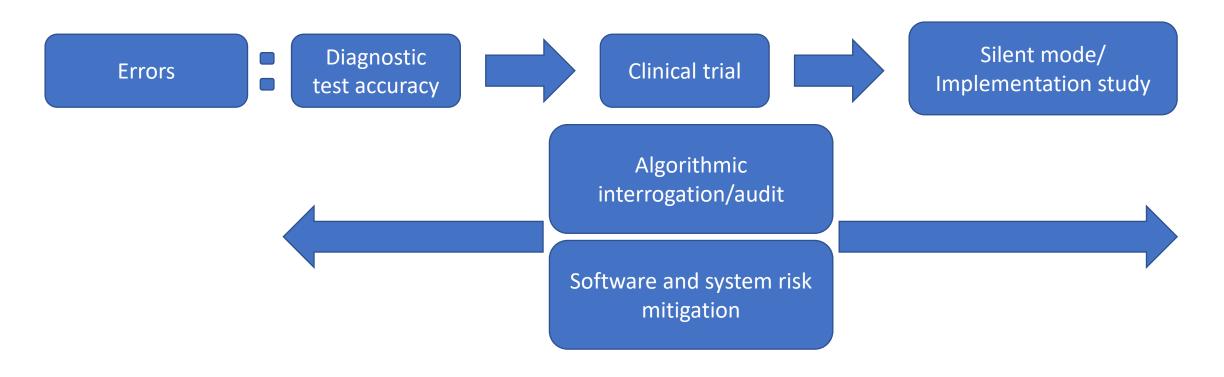
False positives with the automatic polyp detection system

There was a total of 39 false alarms (false positives) in the CADe group, averaging at 0.075 false alarms per colonoscopy (table 5).

Of all the detected polyps in CADe group, none was missed by the automatic polyp detection system (table 5).

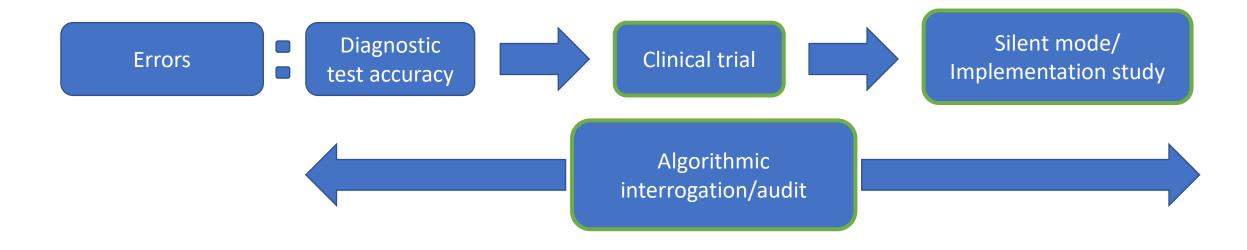
	CADe colonoscopy n (%)
False alarm	39 (100.00)
Bubble	13 (33.33)
Faeces	5 (12.82)
Undigested debris	4 (10.26)
Wrinkled mucosa	7 (17.95)
Local inflammation	5 (12.82)
Local bleeding	1 (2.56)
Rounded drug capsules	4 (10.26)
Other (circular blood vessel, scar, diverticulum and so on)	0 (0.00)
Missed polyp	0 (0.00)

Errors and failure modes



If the error rate is within the performance claim, are there any failure modes and systematic biases that can be identified?

Summary



- Consideration of safety mechanisms at each stage of development
- Error and failure mode detection during development and implementation (and beyond)
- Collaborative multistakeholder AI safety and performance monitoring

Thank you



a.kale@bham.ac.uk 🔰 @A_U_Kale





Evaluating safety for Artificial Intelligence (AI) health technologies: improving the detection, analysis and reporting of AI errors and patient harms

Why?

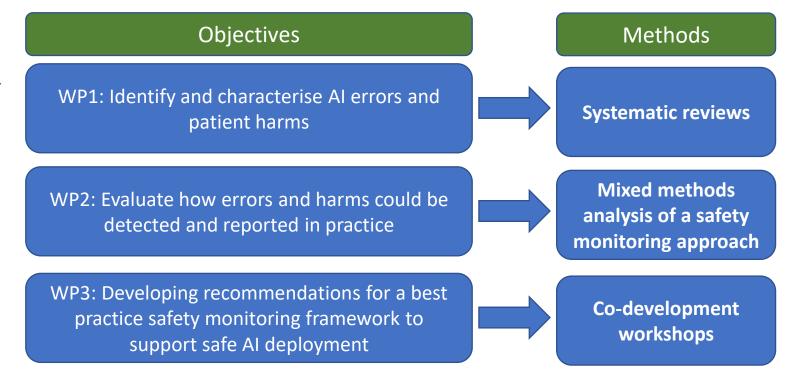
Novel AI health technologies are being developed for a range of different clinical tasks, however there is still a lack of robust safety monitoring processes

Aim:

To improve the detection, analysis and reporting of errors and harms in the context of AI health technologies

Outcome/Impact:

Operationalised safety monitoring tool for deployment in clinical settings, with an understanding of how a multi-stakeholder collaborative approach will assure safe, effective and inclusive integration.

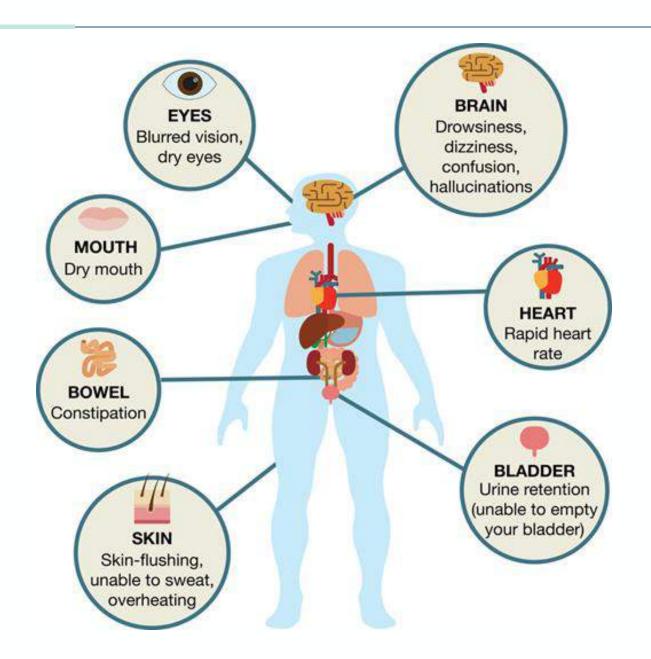




Improving anticholinergic medication prescribing for older people

Dr Aseel Abuzour Dr Bethan Copsey





Background

20% of older adults are prescribed anticholinergic medicines for various medical conditions.

Older people living with frailty are particularly sensitive to the adverse effects of AC medications.

Available tools that calculate AC medication burden have not been optimised to predict adverse outcomes, and are not freely/easily available across UK clinical information systems. Anticholinergic medicines (ACM) literature search



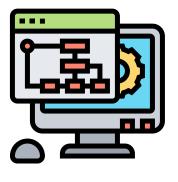
ACM shortlisted to 89 medicines



Connected
Bradford
dataset search
for patients
admitted to
hospital



Prognostic model developed



Incorporated into GP electronic health records



Co-production of decisionsupport resources – clinicians & PPIE



Development of Anticholinergic Medication Index









Patients identified using ACMI and invited for Structured Medication Review



Trained GP pharmacists

Anticholinergic Medication Index Calculator



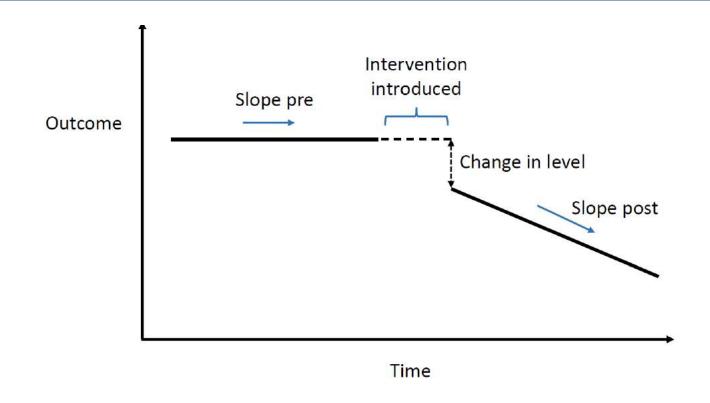
				What is the ACMI?	What is absolute risk?	Hide contributing medications	See	less
NHS number	First name	Surname	Age Sex	ACMI	Absolute risk	Drug Set		
			91 Fema	ale 2.66	19.30%	, Digoxin, Furosemide		
			97 Fema	ale 2.66	17.57%	, Digoxin, Furosemide		
			82 Fema	ale 8.04	14.93%	, Dipyridamole, Furosemide, Mebeverine, Morphine, So	lifenacin, T	ramadol
			89 Male	0.00	14.56%			
			87 Fema	ale 2.53	14.19%	, Citalopram, Fetanyl		
			86 Male	0.00	11.85%			
			81 Fema	ale 0.00	11.27%			
			86 Fema	ale 0.00	10.13%			
			78 Male	9.87	10.12%	, Digoxin, Furosemide, Hydrocortisone, Lorazepam, Mir	tazapine, N	Norphine, P
			85 Male	9.40	10.10%	, Amitriptyline, Bendroflumethiazide, Chlorphenamine,	Codeine, D	igoxin, Mir
			89 Fema	ale 0.00	10.04%			
			81 Male	4.11	9.04%	, Amitriptyline, Tramadol, Umeclidinium		

Evaluation: Overview

- Data source: Connected Bradford –
 routine data on primary and secondary care
- Pilot evaluation on 4 GP practices
- Examine changes in ACMI score (primary),
 hospitalisations, dementia diagnoses, nursing home admissions
- Aims: Demonstrate feasibility, examine trends, justify large-scale study
- Analysis: Interrupted time series on aggregate data at GP practice level before and after ACMI medication review is implemented



Evaluation: Interrupted Time Series



Aims:

- Demonstrate feasibility of using data source and conducting analysis
- Examine trends in outcomes
- Provide evidence to justify large-scale study





Healthcare of Care Home Residents During the COVID-19 Pandemic

HDR UK Better Care Insight Sharing Day

Alex Garner - PhD Student, Lancaster University

a.garner2@lancaster.ac.uk

Co-authors:

Nancy Preston, Suzanne Mason, Camila Caiado, Barbara Hanratty, Catherine McShane and Jo Knight



Background & Study Aims

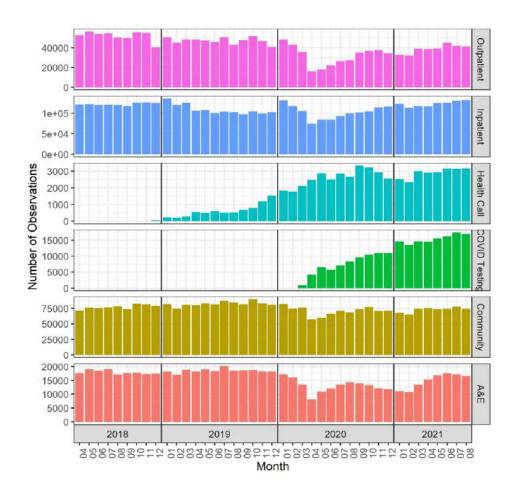
- Care home residents' mortality risk increased disproportionately compared to older people in private homes during the first wave.
- NHS steps to 'free-up the maximum possible inpatient and critical care capacity'.
- Rapid policy changes during the first months of the pandemic.

Aim: Descriptive analysis of how care home residents were treated during the pandemic.

Data

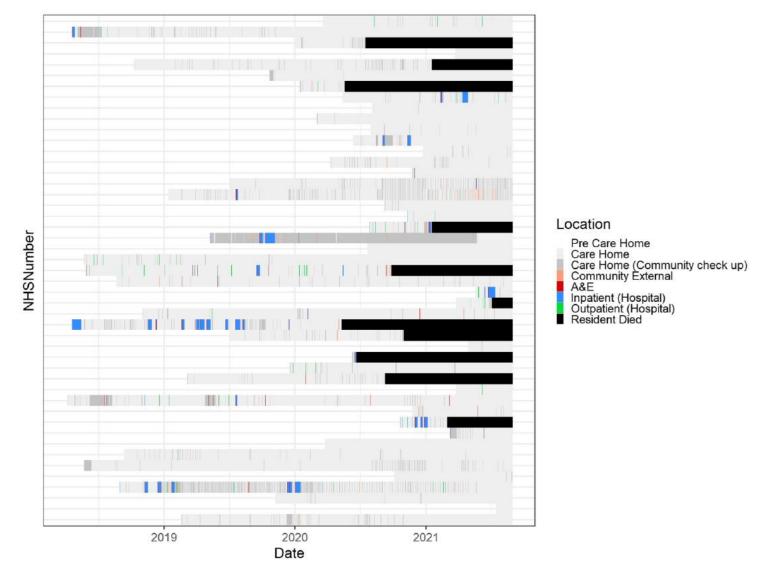
Data Set	No. of Observations	No. of Individuals
A&E	675,500	306,750
Inpatient	480,745	177,403
Inpatient Observations	3,726,105	177,825
Outpatient	1,770,173	328,638
Ward Episodes	550,358	186,885
Community	3,185,812	62,917
Health Call	72,261	6,318
COVID-19 Testing (P1)	240,805	94,531
Additional Data Sets		
Discharges	47,982	20,530
Health Call Referrals	15,936	8,785
Health Call Implementation	125	-
Total	10,701,759	612,408







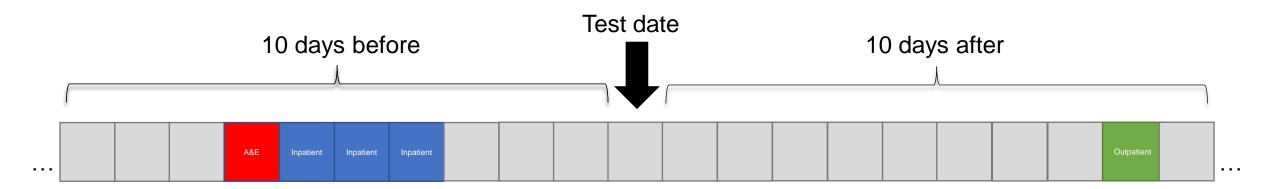
Defining Residents' Trajectories





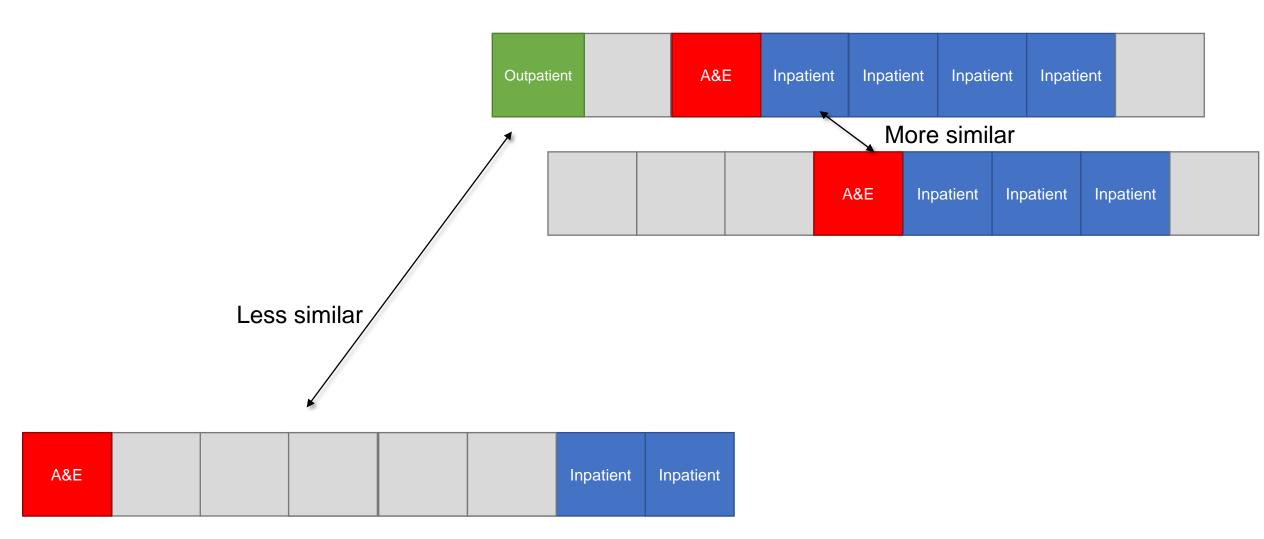
Sequences under Investigation

- 10 days before first positive test
- 10 days after first positive test
- 10 days before first test (any result)
- 10 days after first test (any result)



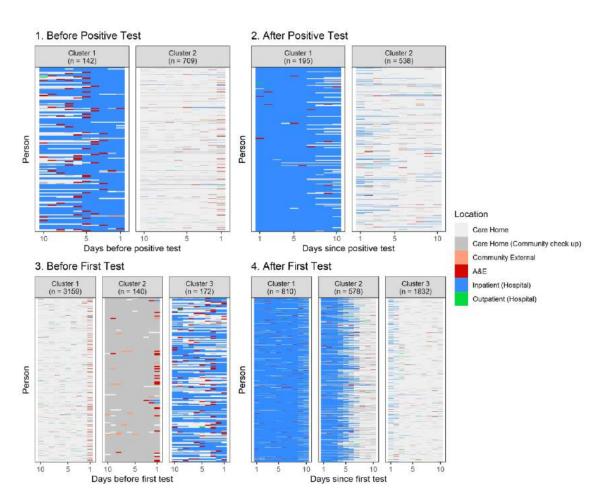


Comparing Residents' Trajectories





Results: Cluster Assignments & Associations



- Clusters driven by care received.
- Diabetes significantly associated with higher levels of care clusters.
- Residents with dementia more often in hospital after test event.
- Positive tests more common in home cluster.



Conclusion

- Application of State Sequence Analysis methodology.
- Clusters demonstrate typical healthcare patterns given the COVID-19 testing conditions.
- Investigated how characteristics impact care.
- Visualise how care changed in response to testing positive.





Thank you

a.garner2@lancaster.ac.uk



References

The Health Foundation. COVID-19 Policy Tracker. Accessed June 2, 2022. https://covid19.health.org.uk/home

NHS England. NEXT STEPS ON NHS RESPONSE TO COVID-19, https://www.england.nhs.uk/coronavirus/wp-content/uploads/sites/52/2020/03/urgent-next-steps-on-nhs-response-to-covid-19-letter-simon-stevens.pdf

Schultze A, Nightingale E, Evans D, et al. Mortality among Care Home Residents in England during the first and second waves of the COVID-19 pandemic: an observational study of 4.3 million adults over the age of 65. *The Lancet Regional Health – Europe*. 2022;14. doi:10.1016/j.lanepe.2021.100295

Roux J, Grimaud O, Leray E. Use of state sequence analysis for care pathway analysis: The example of multiple sclerosis. *Stat Methods Med Res.* 2019;28(6):1651-1663. doi:10.1177/0962280218772068

Abbott A, Forrest J. Optimal Matching Methods for Historical Sequences. *The Journal of Interdisciplinary History*. 1986;16(3):471-494. doi:10.2307/204500



PATTERNS OF RATES OF MORTALITY IN THE CLINICAL PRACTICE RESEARCH DATALINK

James C. F. Schmidt, Paul Lambert, Clare Gillies and Michael Sweeting jcfs2@leicester.ac.uk

RESEARCH BACKGROUND

٦

Using large scale electronic health records to adjust expected mortality rates in the form of published life tables.

Cohort of 1.8 million Clinical Practice Research Datalink (*CPRD*) GOLD subjects, alive and registered on CPRD from January 2000 to December 2018.

With research acceptable data and data linkages to the Office for National Statistics (*ONS*) and Hospital Episode Statistics Admitted Patient Care (*HES*).

Initial finding suggested some form of altered mortality.

Mortality rates were below the national English population.



INTRODUCTION

٦

CPRD is one of the largest longitudinal datasets in the world.

Capturing primary care data from consenting GP practices.

Covering approx. 7% of the UK population.

CPRD is representative of ethnicity, sufficiently accurate in recordings of death and comparable to other populations with regards to age and sex distribution^[1-4].

Aim 1:

How does mortality in selected CPRD cohorts compare with the general population?



INTRODUCTION CONT.

٦

Period of observation prior to the start of at-risk follow-up contribution (start of survival time, *index date*).

Sometimes referred to as research-quality follow-up or a lookback window.

Period of length W ends at the *index date* (baseline).

Uses: medication usage, procedure/diagnosis, comorbid condition identification etc.

Aim 2:

Does the use of lookback windows affect morality rates?



CPRD COHORT

٦

Random sample of 1M CPRD GOLD patients with:

- Research acceptable data
- Data linkages to HES and ONS
- 18 years or older at index date
- Alive and with CPRD follow-up between January 2000 December 2018

Defined 3 dates:

Start date (S) = last of first/current registration or practice up-to-standard date Index date (I(w)) = last of January 2000, 18th birthday or start date + w years lookback End date (E) = first of practice last collected, patient transfer out, death date or December 2018



METHODS

٦

Each subject had a start date, initial index date (I(0)) and end date defined.

Four sub-cohorts were then defined where $W \ge w$, w = 1, 2, 5, 10.

Five datasets: no lookback, 1, 2, 5 and 10 years lookback.

At-risk follow-up = end date - index date (in years).

Crude death rates calculated per cohort $\left(\frac{deaths}{follow-up}x1000\right)$.

Mean Charlson Comorbidity Index (*CCI*)^[5] scores calculated per cohort, classified as CCI score 0, 1, 2 or 2+ score.



METHODS CONT.

٦

Standardised Mortality Ratios (**SMRs**) - indirect standardisation measure giving estimate of relative increase or decrease in mortality in study population compared to reference population.

Estimated over calendar year and follow-up.

Reference mortality rates derived from ONS life tables for England.

Life tables stratified by age, sex and calendar year.



RESULTS

٦		

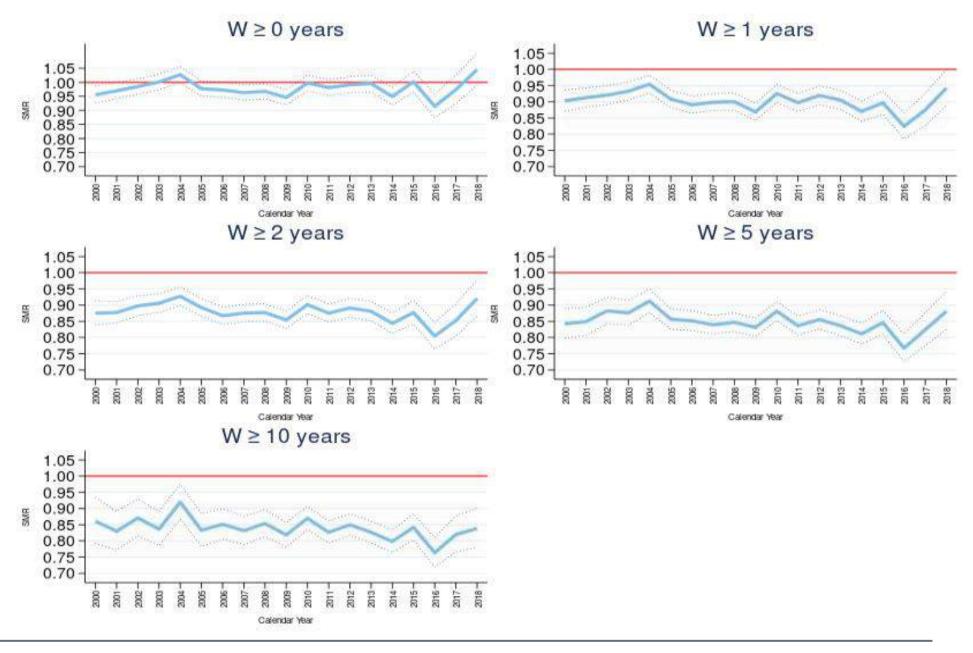
	$W \ge 0$	$W \ge 1$	$W \ge 2$	$W \ge 5$	<i>W</i> ≥ 10
Subjects ^a	1 000 000	876 048	771 175	568 114	370 780
Deaths ^c	78 729 (<mark>7.87</mark>)	67 540 (<mark>7.71</mark>)	60 929 (<mark>7.90</mark>)	46 058 (<mark>8.11</mark>)	27 626 (7.45)
Follow-up ^d	6 539 842 (<mark>6.54</mark>)	5 915 754 (<mark>6.75</mark>)	5 345 168 (<mark>6.93</mark>)	3 933 523 (6.92)	2 186 635 (5.90)
Crude Death Ratee	12.04	11.42	11.4	11.71	12.63
CCI Score ^c					
0	927 079 (92.71)	814 348 (92.96)	714 801 (92.69)	519 327 (91.41)	329 214 (88.79)
1	42 495 (4.25)	37 324 (4.26)	34 143 (4.43)	28 939 (5.09)	23 457 (6.33)
2	16 032 (1.6)	13 799 (1.58)	1 791 (1.66)	1 563 (2.04)	1 193 (2.75)
3+	14 394 (1.44)	1 577 (1.21)	9 440 (1.22)	8 285 (1.46)	7 916 (2.13)
Mean CCI Scoref	0.14 (0.7)	0.13 (0.63)	0.13 (0.64)	0.16 (0.7)	0.22 (0.84)

Values reported are: a - N, b - mean (std. dev.) [min, max], c - N (%.), d - total (mean), e - (deaths/ follow-up)x1000 and f - mean (std. dev),



RESULTS CONT.

SMRs and 95% CI for cohorts, over calendar year with reference line SMR=1

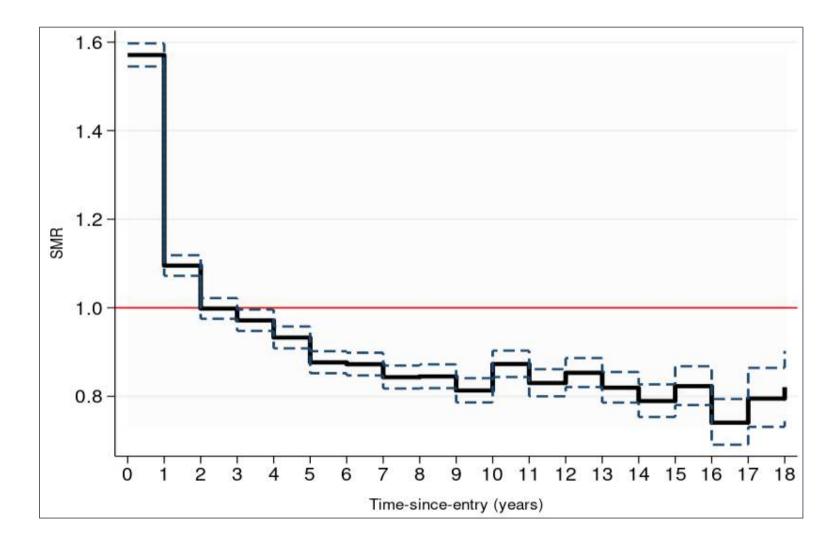


60

jcfs2 @leicester.ac.uk

RESULTS CONT.

SMRs and 95% CI over follow-up timesince-entry (in years), with reference line SMR=1





DISCUSSION

٦

Mortality rates in unrestricted 1m random sample similar to general English pop.

Inclusion of lookback = reduced mortality rates when compared to age-, sex- and calendar year match general pop.

Longer CPRD registration ≈ 'stable' population, more medically vigilant, removes high risk patients??

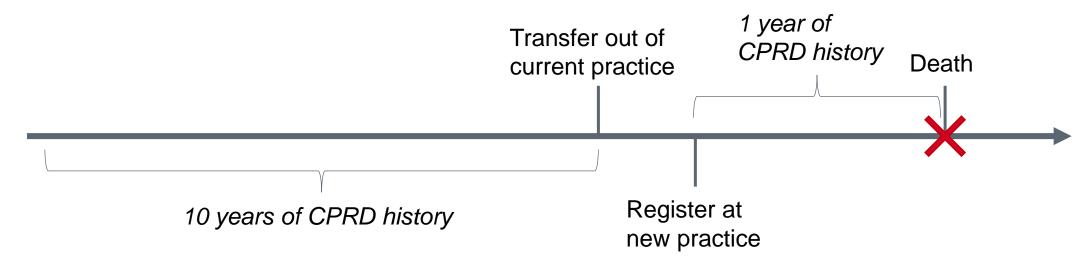
Selection bias



DISCUSSION CONT.

٦

High initial mortality ≈ patients are unique lines of data in CPRD.



Patient registered 10 years at GP, transfers out, joins new GP and dies Seen as two separate individuals in CPRD.



CONCLUSION

٦

Mechanism or reasoning for the selection effect or high initial mortality rates (when compared to the general population) unknown.

Reduced mortality rates with increased lookback window periods and high initial mortality rates in CPRD are significant and should be noted by all who use CPRD in the study of mortality.

The implicit assumption that CPRD is representative of mortality in the general population must be carefully considered.



- 1. CPRD GOLD Data Specification. Version 2.0 September 2017. Padmanabhan, S. https://cprdcw.cprd.com/_docs/CPRD_GOLD_Full_Data_Specification_v2.0.pdf (June 2021, date last accessed).
- 2. Mathur R, Bhaskaran K, Chaturvedi N, Leon DA, vanStaa T, Grundy E, et al. Completeness and usability of ethnicity data in UK-based primary care and hospital databases. Journal of public health (Oxford, England) 2014 Dec;36(4):684-692.
- 3. Gallagher AM, Dedman D, Padmanabhan S, Leufkens HGM, de Vries F. The accuracy of date of death recording in the Clinical Practice Research Datalink GOLD database in England compared with the Office for National Statistics death registrations. Pharmacoepidemiology and drug safety 2019 May;28(5):563-569.
- 4. de Jong, Roy G. P. J, Gallagher AM, Herrett E, Masclee AAM, Janssen-Heijnen MLG, de Vries F. Comparability of the age and sex distribution of the UK Clinical Practice Research Datalink and the total Dutch population. Pharmacoepidemiology and drug safety 2016 Dec;25(12):1460-1464.
- 5. Charlson ME, Pompei P, Ales KL, MacKenzie CR. A new method of classifying prognostic comorbidity in longitudinal studies: Development and validation. Journal of Chronic Diseases 1987;40(5):373-383.





The Networked Data Lab

Fiona Grimm and Sebastien Peytrignet, The Health Foundation
Jessica Butler, University of Aberdeen

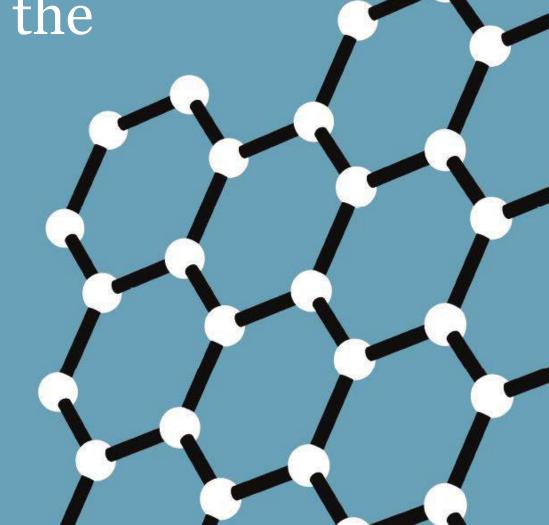


Children and young people's mental health – findings from the Networked Data Lab

Fiona Grimm, Senior Analytical Manager Sebastien Peytrignet, Senior Data Analyst

HDRUK Better Care Insight Sharing Day 14 July 2022





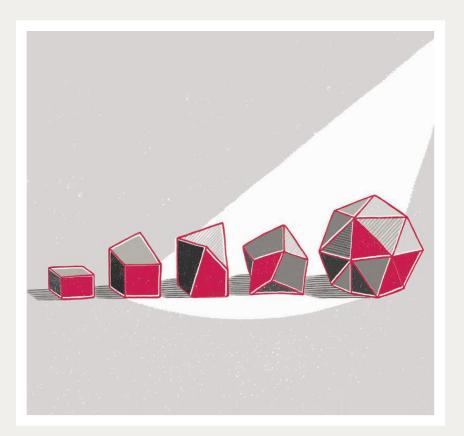


About us

The Health Foundation is an independent charity committed to bringing about better health and health care for people in the UK.

We connect what works on the ground with effective policymaking and vice versa.

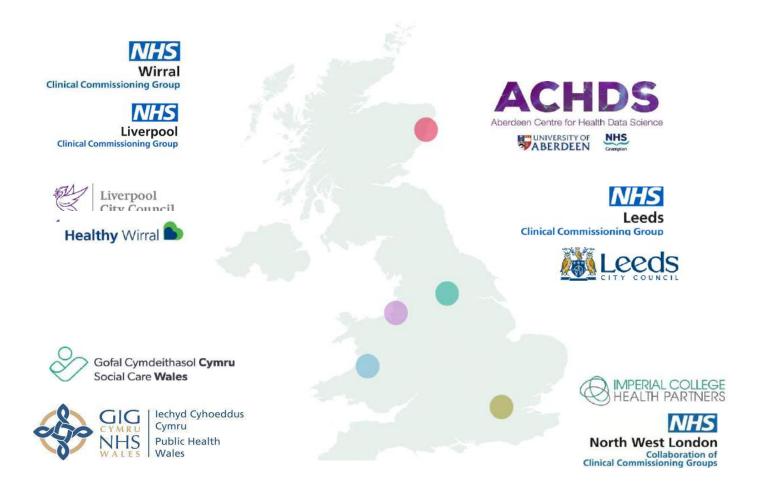




We shine a light on how to make successful change happen



Network of analytical partnerships across the UK





Why a network of existing teams?

Investment and support is needed to accelerate the development and use of linked datasets, especially beyond NHS services.



We support local partner sites to accelerate data linkage across health and social care, and data around the wider determinants of health. We invest in developing analytical capability and reusable tools and resources.

Those planning and delivering services need insights to help improve care and reduce inequalities.



The NDL provides policy-relevant analysis to support decision making at the local and national level and showcases the value of linked data.

Privacy-preserving models of analysis are needed to preserve and build public trust.



Our **federated analysis approach** removes the need for patient data to ever leave secure local systems.



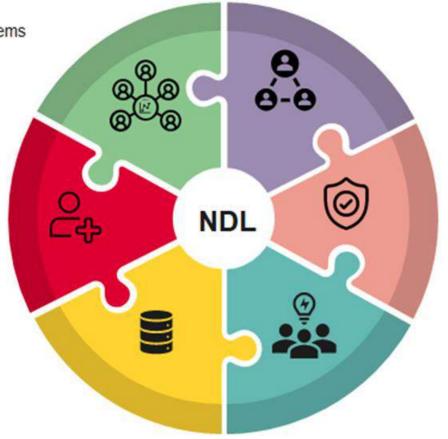
Ways of working with partners

COLLABORATIVE ANALYTICS

Federated analysis between local systems

· Open code, open working

Reproducible statistical models





Ways of working with partners

COLLABORATIVE ANALYTICS

· Federated analysis between local systems

· Open code, open working

Reproducible statistical models

NDL

LOCAL DATA ACCESS

Data resides in local secure infrastructures

 Analysts granted access to secure analytics areas according to local governance

· Summary statistics stored centrally



COLLABORATIVE ANALYTICS

· Federated analysis between local systems

· Open code, open working

Reproducible statistical models

NDL

LOCAL DATA ACCESS

- Data resides in local secure infrastructures
- Analysts granted access to secure analytics areas according to local governance
- · Summary statistics stored centrally

BUILDING ANALYTICAL CAPABILITY

- Novel linkage of local datasets
- Build sustainable analytical capability in the local health and care service



COLLABORATIVE ANALYTICS

· Federated analysis between local systems

· Open code, open working

Reproducible statistical models

NDL

QUALITY ASSURANCE

- Internal/local QA of code
- Patient and public reviewers
- Statistical disclosure control
- Peer review

BUILDING ANALYTICAL CAPABILITY

- Novel linkage of local datasets
- Build sustainable analytical capability in the local health and care service

LOCAL DATA ACCESS

Data resides in local secure infrastructures

 Analysts granted access to secure analytics areas according to local governance

Summary statistics stored centrally



COLLABORATIVE ANALYTICS

· Federated analysis between local systems

· Open code, open working

Reproducible statistical models

NDL

SHARED LEARNING

- Virtual and in-person workshops, huddles, events (internal/external)
- NDL Slack workspace
- 1-2-1 engagement between Labs

QUALITY ASSURANCE

- Internal/local QA of code
- Patient and public reviewers
- Statistical disclosure control
- Peer review

BUILDING ANALYTICAL CAPABILITY

- Novel linkage of local datasets
- Build sustainable analytical capability in the local health and care service

LOCAL DATA ACCESS

- Data resides in local secure infrastructures
- Analysts granted access to secure analytics areas according to local governance
- Summary statistics stored centrally



COLLABORATIVE ANALYTICS

· Federated analysis between local systems

Open code, open working

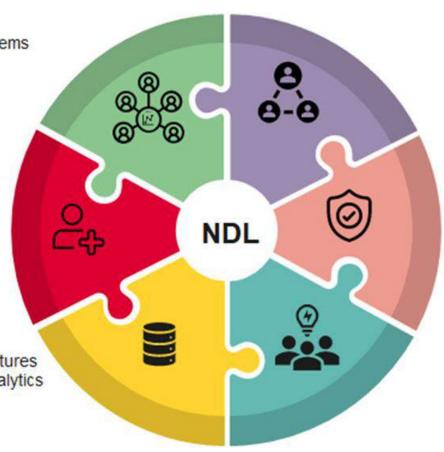
Reproducible statistical models

PATIENT AND PUBLIC INVOLVEMENT & ENGAGEMENT

Involvement for better data – based on the principles of partnership, respect, inclusivity and transparency

LOCAL DATA ACCESS

- Data resides in local secure infrastructures
- Analysts granted access to secure analytics areas according to local governance
- · Summary statistics stored centrally



SHARED LEARNING

- Virtual and in-person workshops, huddles, events (internal/external)
- NDL Slack workspace
- 1-2-1 engagement between Labs

QUALITY ASSURANCE

- Internal/local QA of code
- Patient and public reviewers
- Statistical disclosure control
- Peer review

BUILDING ANALYTICAL CAPABILITY

- Novel linkage of local datasets
- Build sustainable analytical capability in the local health and care service

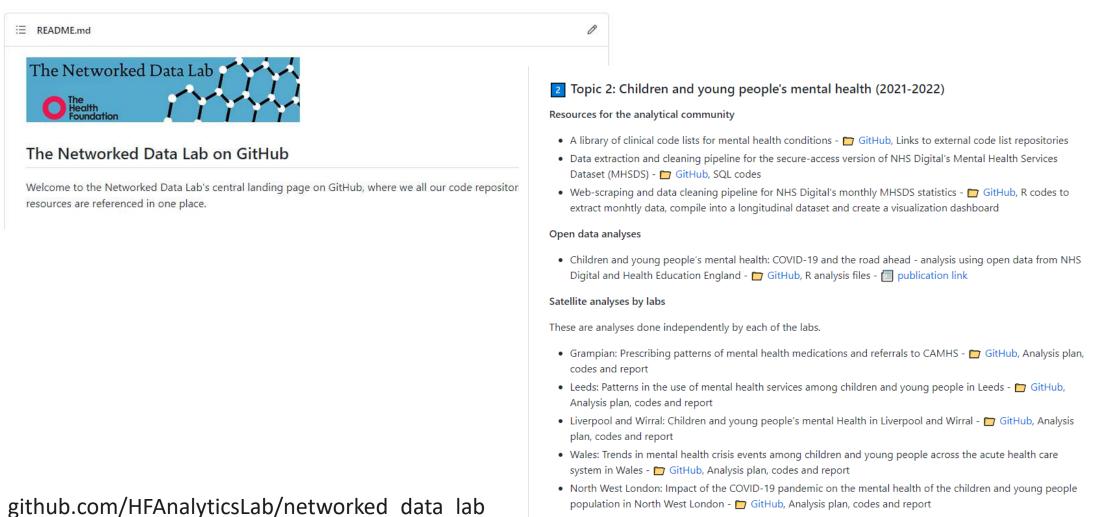




https://link.medium.com/8IOOaF8Zurb

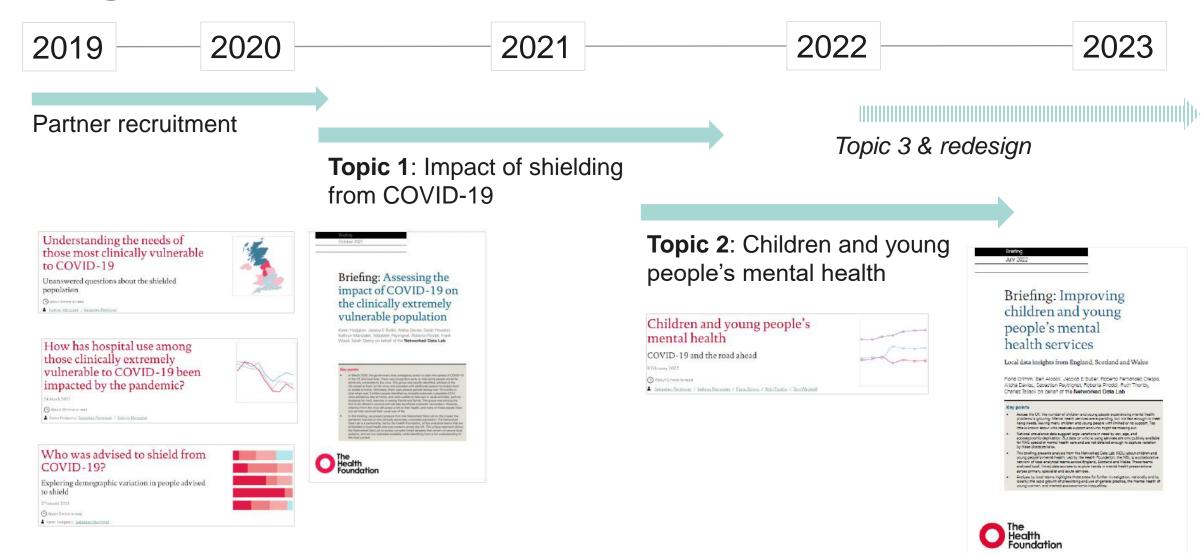


Embedding open analytics and ways of working





Progress





Learning from the pilot phase

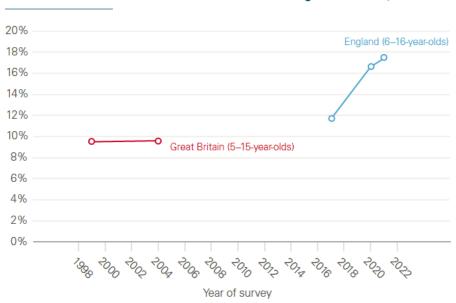
- Embedded approach helps us to ask questions relevant to patients and local stakeholders, and helps drive local demand for analysis and use of insights
- A key challenge is to ensure that analysis meets the needs of national policy and local decision makers
- Time needed for stakeholder engagement and PPIE tends to be underestimated for capacity and resource planning
- Relationships and collaboration between partners are key but finding the time to build them is not easy
- Being pragmatic about data harmonisation

Children and young people's mental health services



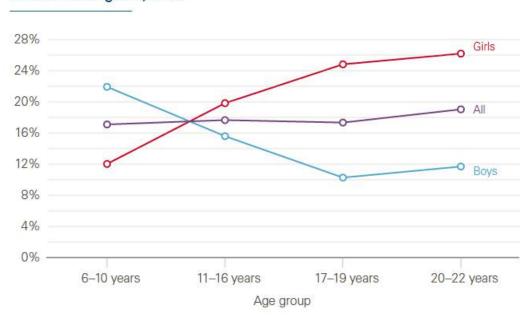
Mental health conditions among CYP

Figure 1: Percentage of children and young people with a probable mental health disorder in Great Britain in 1999 and 2004 and England in 2017, 2020 and 2021



Source: 2017–2021 Mental Health of Children and Young People in England Survey, 1999 The mental health of children and adolescents in Great Britain; 2004 Mental health of children and young people in Great Britain. Between 2017 and 2021, 6 to 16 year olds are considered to have a probable mental health condition based on answers to the Strengths and Difficulties Questionnaire. In 1999 and 2004, survey responses were analysed by clinicians using a case vignette approach.

Figure 2: Percentage of children and young people with a probable mental health disorder in England, 2021



Source: 2021 Mental Health of Children and Young People in England Survey.



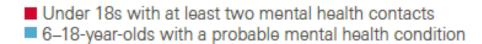
Policies in England, Scotland and Wales

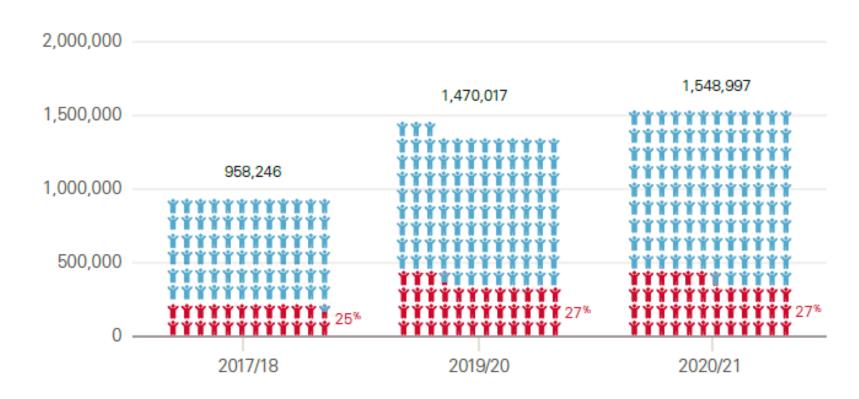
Shared aims:

- more provision of mental health and wellbeing support in schools and the community outside the NHS, including a focus on prevention
- improving access to (and reducing waiting times for) specialist Children and Adolescent Mental Health Services (CAMHS)
- improved crisis care
- extending mental health services beyond age 18, to 25 or 26 (Scotland).



Access to specialist services remains low







Rationale for this analysis

- Those planning and providing services having a clear understanding of who is using what kinds of services and how this compares with expected levels of need
- Routinely published data lacks granularity and mainly covers specialist NHS services

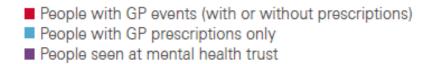
Aims:

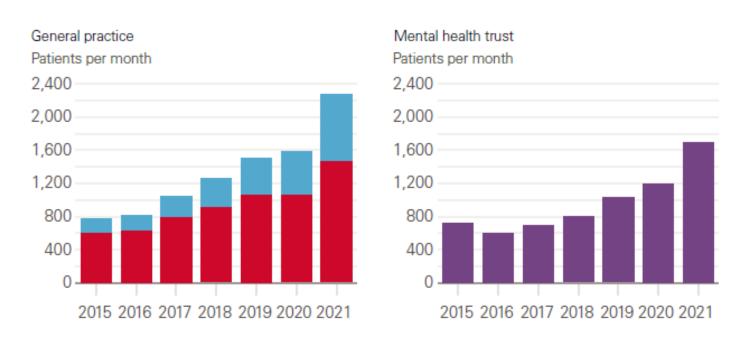
- Local analysis of linked data to shed light on who is using services
- Test if observed patterns could inform service improvements in local areas

Findings from the Networked Data Lab



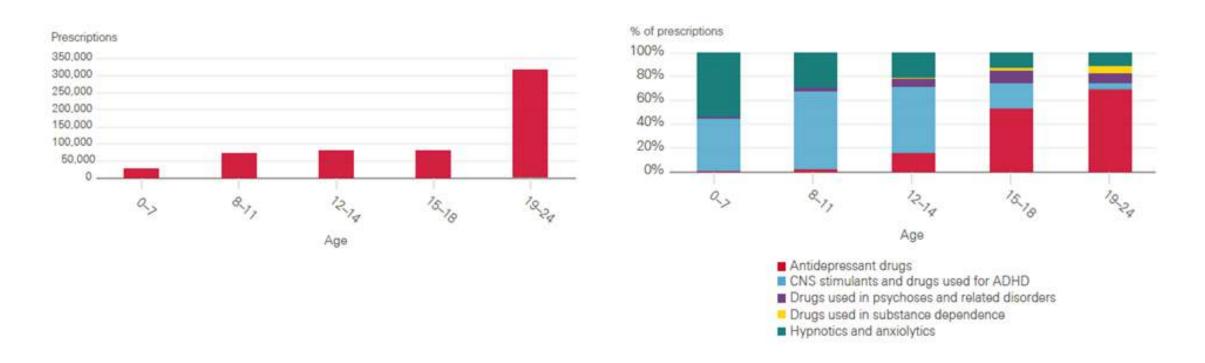
Mental health care in general practice





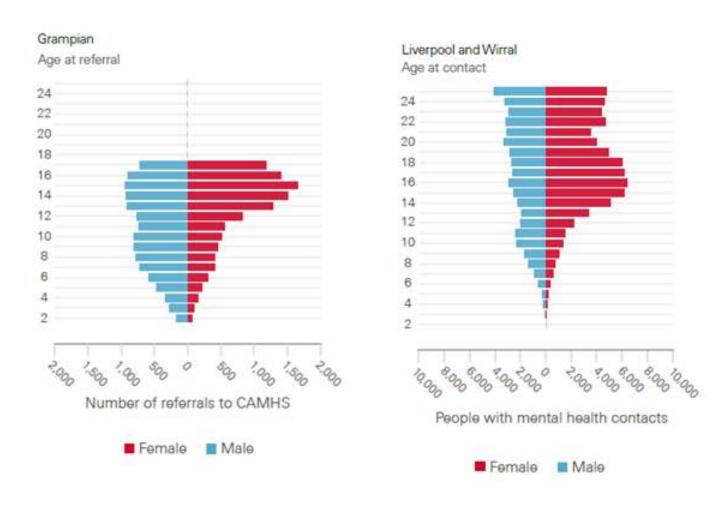


Mental health prescriptions



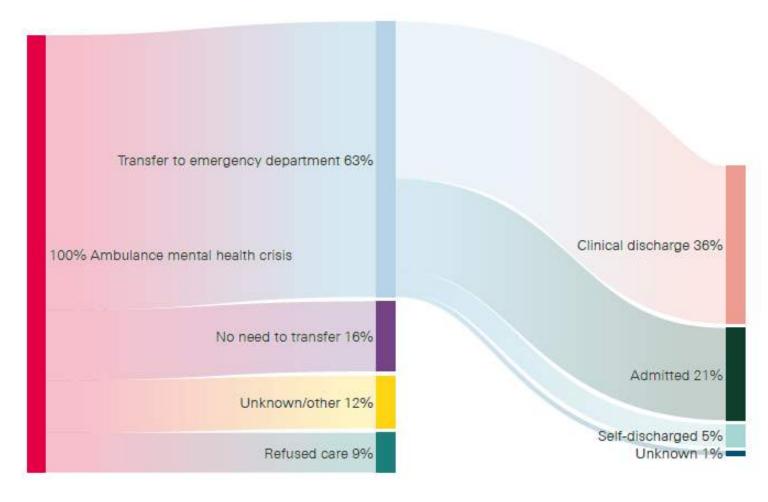


Referrals and contacts with specialist care





Mental health crisis presentations





Limitations

- The extent to which local results can be generalised beyond local areas will vary.
- No data were available on services accessed outside the NHS that often represent a significant part of mental health support, including in schools, or services funded by local government or the voluntary sector.
- No data was available on privately-funded mental health care, which may be used more often as NHS services have struggled to cope with demand.
- The data only captures those who sought and successfully gained access to services.
- These data often lack detailed clinical information, do not offer insights into how the severity and acuity of cases has changed (except for crises) and cannot shed light on the outcomes or experiences of care.



Implications

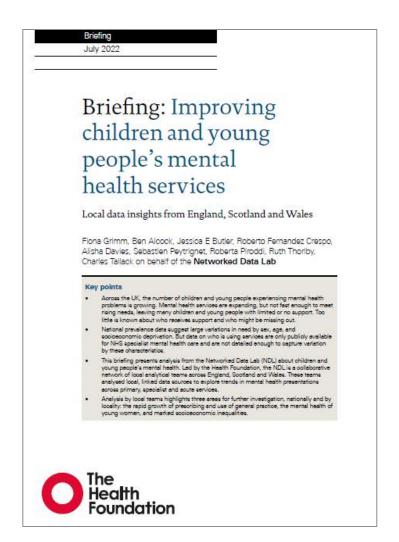
More resources need to be targeted at prevention for those at highest risk

Linked data sources and data sharing are vital to improve services.

More national action is needed to improve the data quality for NHS mental health services.

More regular collection of **prevalence** data would allow services to be expanded in line with need, with realistic targets.

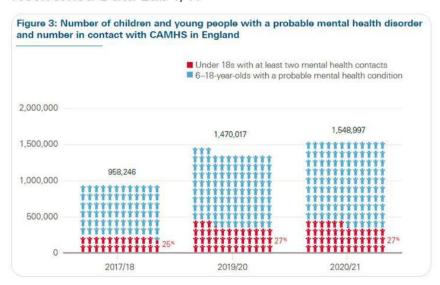




health.org.uk/publications/reports/improving-childrenand-young-peoples-mental-health-services



Children's & young people's #mentalhealth has been worsening across the UK. Services are expanding but not by enough. Addressing the crisis requires understanding it. A based on work with our Networked Data Lab 1/11



3:24 PM · Jul 7, 2022 · Twitter Web App

https://twitter.com/CharlesTTHF/status/1545051111 345700867

Thank you

Get it touch: @fiona_grimm @SebastienPeytr2



Jessica Butler

Networked Data Lab Lead NHS Grampian & University of Aberdeen

@JessButler284

Networked Data Lab Goals

Improve health
Reduce inequalities
Work quickly
Target policymakers

Real-world Integrated Care Systems







Networked Data Lab team is the silo bridge

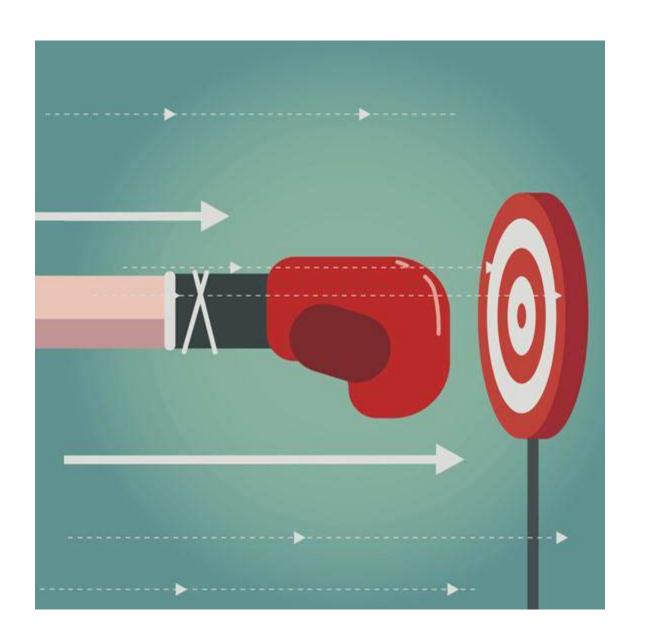
What do doctors want to know?

What are the data guardian's worries?

What do the databases really contain?

What analysis can we do accurately?

How long is a policy maker's attention span?



The data were not designed for research

The research was not designed for impact

Work local Design for impact Be rigorous Return tools Reward teams Subvert publishers



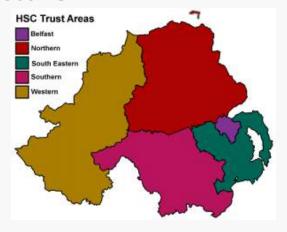
Using regional networks to support the adoption and spread of data science innovations

Andy Clegg
Professor of Geriatric Medicine
University of Leeds & Bradford Royal Infirmary
Associate Director, HDRUK North

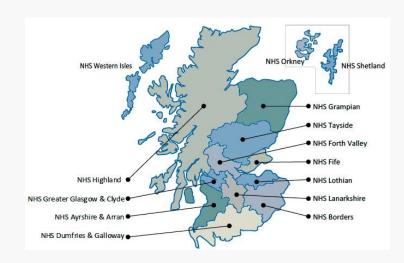


HDRUK Health Data Research UK

NHS structure









HDRUK structure

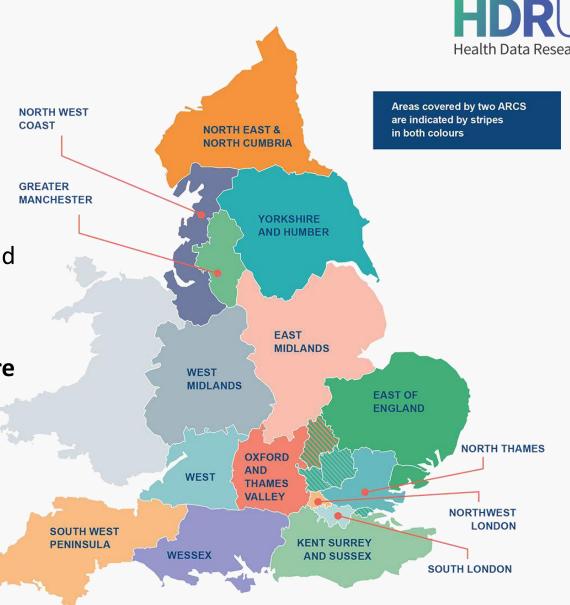




NIHR Applied Research Collaborations

Regional partnerships between NHS providers, universities, charities, local authorities, AHSNs that support applied health and care research and implementation research to increase rate of adoption and spread of research findings

Broad range of research themes, but map to core health and social care priorities (ageing population, MLTC, mental health, child health, etc)



Academic Health Science Networks

Regional bodies that connect NHS, universities, charities, local authorities, and industry to improve health and generate economic growth

Uniquely placed to identify and spread health innovation across large populations

Share common priorities including optimizing medicine use, improving patient safety, translating research into practice

Collaborate on national programmes







Supporting better quality health and social care for everyone in Scotland



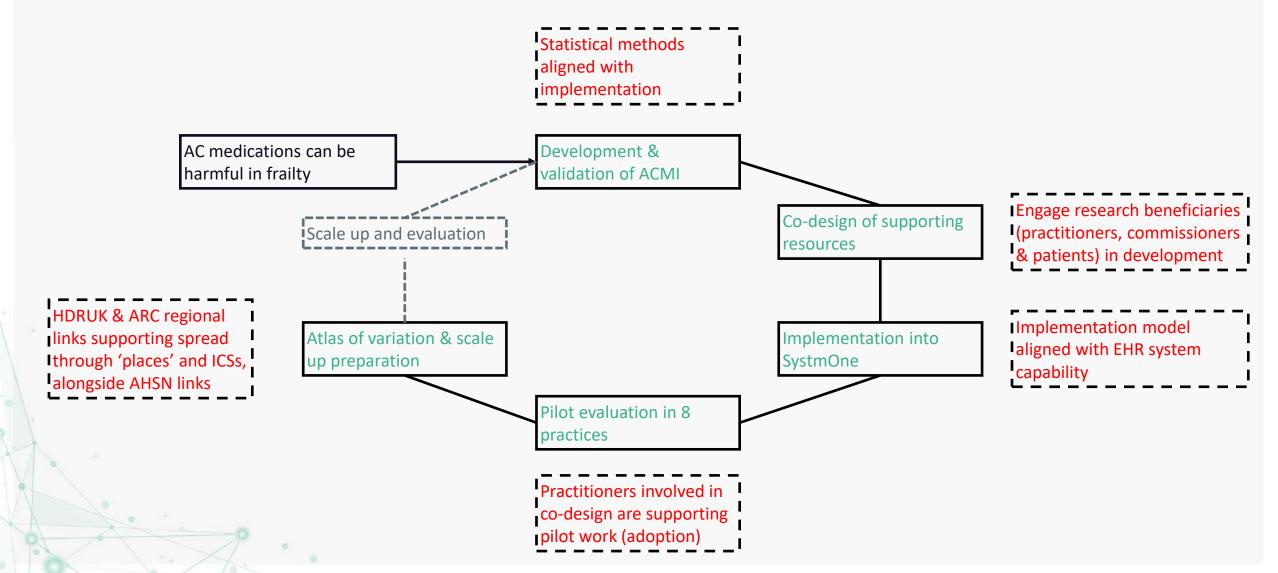




Adoption then spread



Optimising Anticholinergic Prescribing





Spread, then adoption





Summary

- HDRUK regional networks well positioned to play a critical role in supporting adoption and spread of data science innovations
- To support adoption & spread, HDRUK regions should establish strong links with ICSs/health boards, NIHR ARCs, and AHSNs/Health Improvement bodies
- Individual HDRUK research teams should consider an implementation plan at outset of project that considers the role of the HDRUK regions in adoption and spread, in collaboration with other partners
- Critical question is 'how will my innovation be used in practice' as otherwise high risk that innovation will be developed that cannot be implemented in a way that is useful for end user
- Teams should involve potential research beneficiaries (patients, practitioners, commissioners, policymakers) from the outset to develop innovation aligned with needs of end user that will benefit patients
- These research beneficiaries can become your early adopters, who are your critical friends in driving spread
- EHR system suppliers are often crucial partners in adoption/spread, but have (many) other competing priorities



Better Care within the HDR UK future strategy

Alastair Denniston, Director of INSIGHT - the Health Data Research Hub for Eye Health, Consultant Ophthalmologist (Uveitis and Medical Retina), University Hospitals Birmingham NHSFT



Key questions



- What do you value the most from the Better Care Community?
 - E.g. Insight sharing days, access to national network, training, partnership opportunities

- What is most important for HDR UK to retain from the Better Care Programme as we move into our next five years?
 - E.g. Practitioner engagement in research



Closing remarks and next steps



Next steps



Meeting follow up



01

- Meeting slides and summary report will be circulated to all attendees
- Please let us know feedback for next time



02

Events

- 20 July: BHF Data Science Centre monthly webinar
- **08 September:** HDR UK North **Regional Meeting**
- See the HDR UK website: Events -HDR UK





03

- Join the Better Care slack channel (contact alice.turnbull@hdruk.ac.uk)
- Visit the Better Care webpage
- Visit the <u>Gateway</u>
- Sign up to the HDR UK mailing list
- Follow us on LinkedIn and Twitter @HDR_UK

